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10/016,958	12/07/2001	William Girard McCollom	10010635-1	7352
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Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)	
	10/016,958	MCCOLLOM ET AL.	
Office Action Summary	Examiner	Art Unit	
	John B. Walsh	2151	
The MAILING DATE of this communication ap	ppears on the cover sheet	with the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING E  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMU .136(a). In no event, however, may d will apply and will expire SIX (6) Mete, cause the application to become	NICATION.  a reply be timely filed  ONTHS from the mailing date of this communication  ABANDONED (35 U.S.C. § 133).	
Status		•	
1) Responsive to communication(s) filed on 12/2 2a) This action is <b>FINAL</b> . 2b) This 3) Since this application is in condition for allowed closed in accordance with the practice under	is action is non-final. ance except for formal m	• •	is
Disposition of Claims		·	
4)	awn from consideration. e rejected.	on.	
Application Papers	, .		
9) The specification is objected to by the Examin 10) The drawing(s) filed on is/are: a) acceptable and applicant may not request that any objection to the Replacement drawing sheet(s) including the correct and the specific process of the specific process.	cepted or b) objected e drawing(s) be held in abe ction is required if the draw	vance. See 37 CFR 1.85(a). ng(s) is objected to. See 37 CFR 1.121	(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Bureat * See the attached detailed Office action for a list	nts have been received. nts have been received in ority documents have be au (PCT Rule 17.2(a)).	Application No en received in this National Stage	
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Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper N	w Summary (PTO-413) lo(s)/Mail Date of Informal Patent Application	

#### **DETAILED ACTION**

## Claim Objections

1. Claim 26 is objected to because of the following informalities: Claim 26, line 5 – delete "s" after "in". Appropriate correction is required.

### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-4, 6-8, 10, 11, 13-17 and 19-27 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,751,663 to Farrell et al.

As concerns claim 1, a method of determining autonomous system volume data comprising: collecting data flow statistics for at least one router (12; column 2, line 55); collecting routing information base data for each of the at least one router (abstract; column 2, lines 52-54); and, thereby yielding autonomous system volume data (column 3, lines 7-22); wherein the collected routing information base data for the at least one router comprises at least one selected autonomous system path (column 14, line 20; column 6, lines 13-16); wherein the step of correlating the routing information base data and the data flow statistics comprises correlating a data flow statistic corresponding to a destination address (column 7, line 58; destination) to each autonomous system included in an autonomous system path corresponding to the destination address.

As concerns claim 2, the method of claim 1, further comprising, following the step of correlating: analyzing the autonomous system volume data (column 7, line 50; translates); and reporting results of the step of analyzing (column 7, line 51; records).

As concerns claim 3, the method of claim 1, wherein the step of collecting the data flow statistics for the at least one router comprises; collecting the data flow statistics during a pre-determined time interval (column 14, line 14), and aggregating the data flow statistics by destination address (column 24, line 31; addresses).

As concerns claim 4, the method of claim 1, wherein the step of collecting the data flow statistics for the at least one router comprises using a data flow collection program (column 2, line 41; process).

As concerns claim 6, the method of claim 1, wherein the step of collecting the routing information base data for the at least one router comprises taking a snapshot of border gateway protocol data (column 2, line 31).

As concerns claim 7, the method of claim 1, wherein the step of correlating comprises: identifying a destination address in the data flow statistics (263); identifying a prefix (262) corresponding to the destination address, identifying an autonomous system path (column 14, line 20) corresponding to the prefix; correlating a data flow statistic corresponding to the destination address to each autonomous system included in the autonomous system path (column 7, line 58; destination).

As concerns claim 8, the method of claim 1, wherein the step of correlating comprises; identifying a destination address (column 7, line 58; destination) in the data flow statistics; and correlating a data flow statistic corresponding to the destination address to each autonomous system included in an autonomous system path corresponding to the destination address (column 14, line 20).

As concerns claim 10, the method of claim 7, wherein the step of correlating comprises repeating the steps of claim 7 for each destination address of the data flow statistics of each of the at least one router (column 34, lines 58-60).

As concerns claim 11, the method of claim 8, wherein the step of correlating comprises repeating the steps of claim 8 for each destination address of the data flow statistics of each of the at least one router (column 6, line 41-column 7, line 10).

As concerns claim 13, the method of claim 1, further comprising: computing at least one synthetic autonomous system path (column 14, line 20); and reporting autonomous system volume data of the at least one synthetic autonomous system path (column 14, line 20; column 6, line 62).

As concerns claim 14, a system for determining autonomous system volume data comprising: a data flow collection node (column 6, line 13; 18) adapted to collect data flow statistics from at least one router a routing information base collection node adapted to periodically collect routing information base data from the at least one router; and a correlation node (13) adapted to correlate the routing information base data and the data flow statistics and thereby yield autonomous system volume data; wherein the correlation node is adapted to correlate a data flow statistic (column 6, lines 15-16; statistics on information) corresponding to a destination address to each autonomous system included in an autonomous system path corresponding to the destination address.

As concerns claim 15, the system of claim 14, further comprising a reporting node adapted to analyze and report on the autonomous system volume data  $(\overline{22})$ .

As concerns claim 16, the system of claim 14, wherein the correlation node is adapted to identify a destination address in the data flow statistics (263), identify a prefix (262) corresponding to the destination address, identify an autonomous system path corresponding to the prefix, correlate (308) a

data flow statistic corresponding to the destination address to each autonomous system included in the autonomous system path.

As concerns claim 17, the system of claim 14, wherein the correlation node is adapted to identify a destination address (column 24, line 31) in the data flow statistics, and correlate a data flow statistic corresponding to the destination address to each autonomous system included in an autonomous system path corresponding to the destination address (column 6, lines 41-49).

As concerns claim 19, the system of claim 14, wherein at least two of the data flow collection node, the routing information base collection node, and the correlation node are the same node (figure 1).

As concerns claim 20, the system of claim 14, wherein the data flow collection node, the routing information base collection node, and the correlation node are each a separate node (figure 1).

As concerns claim 21, the system of claim 14, further comprising a reporting node (22) adapted to report autonomous system volume data on at least one synthetic autonomous system path.

As concerns claim 22, a method of generating autonomous system volume data comprising: detecting at least one first data flow (column 5, line 3) having a first volume and directed toward a first destination address identifying a first selected autonomous system path in a routing information base over which said first data flow is routed; and for each autonomous system in the first selected autonomous system path, incrementing a counter (column 6, line 20, counters; replacing a default value with value detected) by an amount indicating the first volume.

As concerns claim 23, the method of claim 22, further comprising: detecting at least one second data flow (column 5, line 3; multiple data flows may be detected by the flow data collector) having a second volume and directed toward a second destination address using a second selected autonomous

system path in the routing information base, for each autonomous system in the second selected autonomous system path, incrementing a counter (column 6, line 20 counters; replacing a default value with value detected) by an amount indicating the second volume; and wherein at least one autonomous system in the routing information base is updated before the detecting of the at least one second data flow (information for first data flow detected then second data flow will be detected).

As concerns claim 24, the method of claim 22, further comprising: providing counter data (counters; replacing a default value with value detected) resulting from the incrementing of the counter during a specified time period; analyzing the counter data (column 7, line 50; translates); and reporting (column 7, line 50; 22) results of the step of analyzing.

As concerns claim 25, a method of generating autonomous system volume data comprising: detecting at least one first data flow (column 5, line 3) having a first volume and directed toward first destination address; identifying a first selected autonomous system path in a routing information base over which said first data flow is routed (column 14, line 20); and for each autonomous system in a first synthetic autonomous system path constructed using the at least one first selected autonomous system path, incrementing a counter (column 6, line 20 counters; replacing a default value with value detected; see also column 17, lines 29-35 and column 21, lines 22-27) by an amount indicating the first volume.

As concerns claim 26, the method of claim 25, further comprising: detecting at least one second data flow (column 5, line 3; multiple data flows may be detected by the flow data collector) having a second volume and directed toward a second destination address using a second selected autonomous system path in the routing information base; for each autonomous system in a second synthetic autonomous system path constructed using the selected autonomous system path, incrementing a counter (counters; replacing a default value with value detected) by an amount indicating the second volume,

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and wherein at least one autonomous system in the routing information base is updated before the detecting of the at least one second data flow (information for first data flow detected then second data flow will be detected).

As concerns claim 27, the method of claim 25, further comprising: providing counter data (18) resulting from the incrementing of the counter during a specified time period; analyzing the counter data (17); and reporting results (22) of the step of analyzing.

The claims recite the term "adapted to". It has been held that the recitation that an element is "adapted to" perform a function is not a positive limitation but only requires the ability to so perform. It does not constitute a limitation in any patentable sense. In re Hutchison, 69 USPQ 138.

## Response to Arguments

4. Applicant's arguments filed December 21, 2006 have been fully considered but they are not persuasive.

The applicant argues Farrell et al. do not disclose "identifying an autonomous system path over which a data flow is routed, and then incrementing a counter for each autonomous system in the path." Farrell et al. disclose identifying autonomous system paths (see column 19, line 8; column 23, lines 44-50; column 6, lines 12-22) and incrementing counters for each path (see column 6, lines 12-22; column 17, lines 29-35 and column 21, lines 22-27).

The applicant argues Farrell et al. do not disclose a "synthetic" autonomous system path.

The claims are given the broadest reasonable interpretation and the applicant's claimed

"synthetic" autonomous system path appears equivalent to the autonomous system path of the

earlier claims. The claims do not define how the "synthetic" type is distinct from the earlier

claimed path. Furthermore, Farrell et al. do disclose identifying autonomous system paths (see rejection above and column 19, line 8 and column 23, lines 44-50).

#### Conclusion

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John B. Walsh whose telephone number is 571-272-7063. The examiner can normally be reached on Monday-Thursday from 7:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Zarni Maung can be reached on 571-272-3939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

John B. Walsh Primary Examiner Art Unit 2151